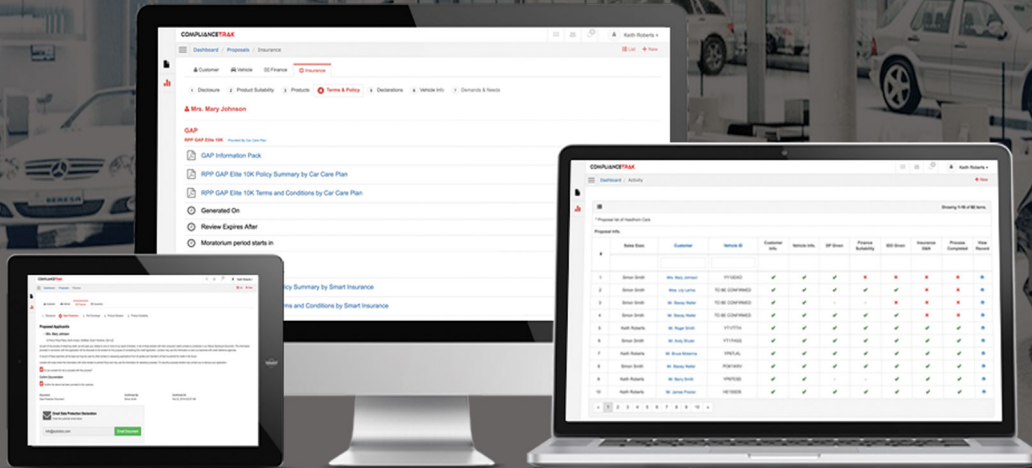# COMPLIANCETRAK®

Delivering a one-stop multi-platform FCA compliance solution for the automotive industry

COMPLIANCETRAK®

www.compliancetrak.io

COMPLIANCETRAK®

Delivering 100% consistent and demonstrable compliance

It's no secret that the British motor industry is coming under increased scrutiny by the Financial Conduct Authority (FCA), and with many dealerships still having weak, inconsistent and undemonstrable compliance processes, it's leaving them exposed to potential fines from the FCA and lawsuits for claims of mis-selling!

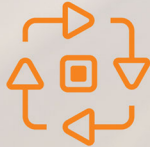## Who knew becoming FCA compliant could be so Fast and Easy!

ComplianceTrak has been designed specifically for the automotive industry. Its intuitive user experience makes compliance simple. Your dealership will benefit from robust processes and controls from the point of sale through to delivery. ComplianceTrak demonstrates and evidences that all Consumer Credit and General Insurance activity has been carried out compliantly and automatically produces all the requisite FCA documentation which is precisely time and date stamped included captured digital signatures.

Manage every detail of your compliance needs inside one easy to use system

Multi - Platform
Can be used on all platforms - PC, Tablet & Mobile

Improves Process
Improves compliance process ensuring demonstratable and consistent approach

Digital Documents
All documents are time/date stamped including digital signatures and securely stored in the cloud

Visibility
Can be accessed from anywhere allowing for centralised auditing and administration

Security
All data stored is encryption protected conforming to GDPR regulations

Finance Tracker

ComplianceTrak's Finance Tracker ensures dealers abide by the FCA's requirement to treat customers fairly with all Consumer Credit activity.

The system qualifies the exact financing needs of the customer via a brief questionnaire. Based on the customer's response, only suitable products are offered.The pros and cons of each financing option are clearly presented to the customer. This puts them in a position to make an informed decision on the product that suits their needs best, with a full understanding of all costs and details associated with the agreement.

Finance Tracker ensures the entire process is formally documented and easily auditable. All activities are electronically stamped with precise date and time.

Your business is protected in the event of any future claims of the mis-selling of financial products. Everything you need to demonstrate FCA compliance is securely stored online and can be recalled anytime from anywhere you have internet access.

Finance Tracker Key Features

✓ **Finance disclosure & qualification**

✓ **Product suitability, features & benefits**

✓ **Print & Email customer documentation**

✓ **Electronic signature capture**

✓ **All processes time & date stamped**

✓ **Full reporting suite**
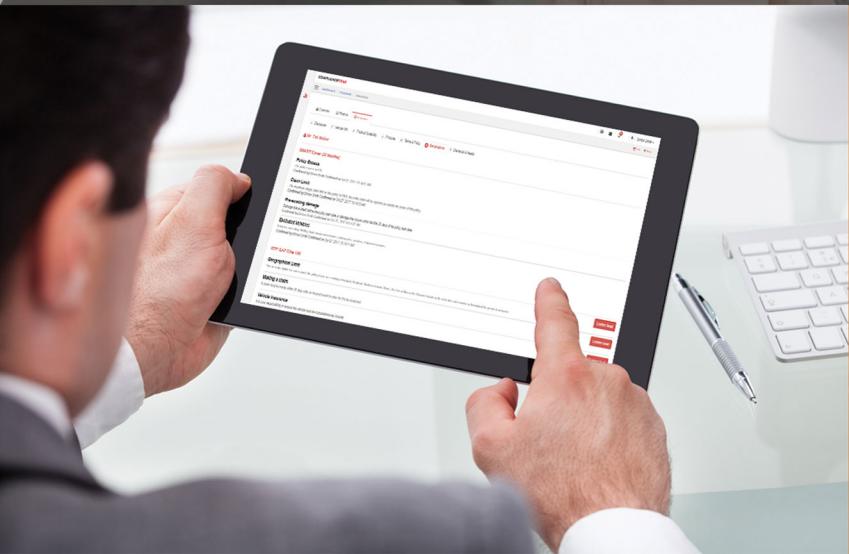
✓ **Integrates with dealer showroom systems**

Insurance Tracker

ComplianceTrak's Insurance Tracker makes General Insurance compliance easy and straightforward. Your dealership benefits from a demonstrable and consistent approach to FCA compliance. Fair advice is given to customers every time.

The system lays down a clear sales process. Each customer is taken through a simple product suitability and eligibility qualification process. Based on the customer's response only suitable products are recommended. ComplianceTrak then generates an easy-to-understand customer information pack where all product details are highlighted.

Insurance Tracker ensures the entire process is formally documented and easily auditable. All activities are electronically stamped with a precise date and time.

Your business is protected in the event of any future claims of the mis-selling of insurance products. Everything you need to demonstrate FCA compliance is securely stored online and can be recalled anytime from anywhere you have internet access.

Insurance Tracker Key Features

✓ Configurable Demands & Needs

✓ Automated product recommendations

✓ Print & Email customer documentation

✓ Electronic signature capture

✓ All processes time & date stamped

✓ Full reporting suite

✓ Integrates with dealer showroom systems

CUSTOMER EXPERIENCE
Intuitive streamlined user experience makes the process of compliance simple

COST SAVINGS
Reduces the need to print documents, reducing cost of paper, printing, storage and retrieval

IMPROVED PROCESS
Improves compliance process, ensuring a demonstratable and consistent approach

Benefits of
COMPLIANCETRAK

REMOTE ONLINE ACCESS
Remote access allowing centralised auditing and administration

TIME SAVINGS
Delivers significant time savings with compliance administration and audting

REPORTING
Delivers easy to understand real-time full visibility reporting of all compliance activity

Protect your dealership now!
Join the fastest growing FCA compliance solution

COMPLIANCETRAK®

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